SHIV NADAR

— UNIVERSITY —

DELHI NCR

School of Management and Entrepreneurship

PhD in Management

Prospectus Monsoon-2021

Director's message

Dear Applicant,

The School of Management & Entrepreneurship (SME) is very pleased to announce the 3rd batch of its Ph.D. in Management program. We take pride in being one of the apex schools within one of India's foremost research focused, multi-disciplinary universities. Through our Ph.D. program, we welcome all scholars with a genuine passion in management research to re-examine and re-engineer the paradigms of management thoughts, principles and models.

The Ph.D. program will help you transform your knowledge and perspective for successful careers in both academics and business research and analytics.

Shiv Nadar University has an intellectually vibrant eco-system that provides an appropriate backdrop for all research-oriented programs. As a Ph.D. student of SME at SNU, you will be able to broaden your research canvas and draw on intricate co-relations from the interplay of the diverse knowledge bodies within the University's intellectual ecosystem. You will be taught and mentored by a world-class research faculty of international repute, which in turn will prepare your academic pursuit with global systemic standards.

If found eligible for financial support, you can also expect to receive best-in-class monthly doctoral stipend for your scholarly pursuits.

So, if you aspire to be a thought leader in relevant disciplines of management, we encourage you to explore the possibilities offered by this unique Ph.D. program from SME.

Mission

The Ph.D. program of SME aims at training and developing candidates for a career in research in management theory and practice, who would be at the forefront of creating and disseminating knowledge. The academically strong and methodologically rigorous program will prepare the candidate for a wide spectrum of areas in management and allied disciplines.

Admission Process

Admission to PhD program at SME is a three-stage process:

1. Basic eligibility
2. Teaching and Research credentials
3. Personal interview

Basic Eligibility

Candidates with any of the following academic qualifications from recognized Universities/Institutes are eligible to apply for the PhD program of the School of Management and Entrepreneurship:

- Post graduate in any discipline, with a minimum of 60% marks in their eligibility examinations or an equivalent CGPA.
- PGDMs recognized by competent authority as equivalent to Master's degree
- Professional certifications considered equivalent to Master's degree
- A valid score from any of the following tests:
  - ➢ UGC-JRF or UGC-NET for Lectureship (not more than THREE years old)
  - ➢ GMAT, CAT, XAT, MAT, ATMA, NMAT, GATE, GRE
  - ➢ SNU Management Admissions Test (SMAT) (SMAT is applicable only for those who do not have a valid score from any of the above tests.)

Research Statement and Statement of Purpose

- If the basic requirements are fulfilled, the prospective candidate needs to apply with specific department preference(s) of the School that matches with the candidate's area of research interest. Along with the application document, the candidate needs to submit a research idea of about 1500 words, explaining the nature and process of the proposed work, which will be examined by the respective department.

Interview

- Prospective candidates, as suggested by SME departments will be called for further selection process at the University campus. During this process, the candidate will be tested for their inquisitive knowledge in the chosen field, suitability for a high-quality research program and their intent and interest towards teaching and research.

Profile of a Desirable Candidate

While we admit candidates who successfully complete the three-stage process to the program, preferences will be given to:

- UGC-JRF candidates
- Candidates sponsored by their organization or any other funding agency
- Candidates with a high score in other qualifying exams such as CAT, XAT, MAT, ATMA, NMAT, GATE, or GRE
- Full-time faculty members of any recognized university or institution
- Full-time research associates or fellows working with recognized research institutions
- Those who have publications in refereed journals or conference proceedings
- Managers with at least 2 years of experience in large-scale industrial organizations
- Post graduates who are motivated to pursue research as a career

How to Apply?

Prospective candidates to the PhD program in management may visit the SNU website and fill the online application forms @ https://applications.snu.edu.in/OnlineAppPG/public/application/register/index. Once the process is complete, the PhD office at SME will invite the candidate for an interaction at SNU campus[1]. SNU will **not** reimburse the travel and accommodation expense of the candidates for participation in the selection process.

Key dates to remember:

- Application opens: February 15 2021 (Monday)
- Last date of application: June 14 2021 (Monday)
- Date of SMAT:[2]
    - March 23 2021 (Tuesday)
    - April 26 2021 (Monday)
    - May 17 2021 (Monday)
- Date of interview: May 24 2021 (Monday)
- Announcement of results: May 31 2021 (Monday)
- Classes begins: First week of August 2021

Please note:

The University reserves the right to call a particular candidate for appearing in the interview.

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[1] Information on the mode of interview will be intimated to the candidates based on the government regulations and Campus SOPs, strictly following Covid-19 protocols

[2] Candidates do not have any recognized test scores need to write to SME at sme-phdoffice@snu.edu.in, indicating their preferred date for taking the SMAT

Program Overview

The Ph.D. program at SME is structured to enhance the inquisitive knowledge in pursuit of enlightening the field of study with new knowledge. It allows students considerable flexibility to work on their topics of interest that satisfy their intellectual curiosity. The pre-thesis course-work is designed to create the launch pad for a good quality research output. It has two broad objectives, viz., (1) to arm the candidates with the necessary tools and techniques of data analysis and pattern identification (2) to sensitize the extensive knowledge already created in management and allied topics.

SME's PhD program encourages researchers to take up projects that are multi-disciplinary in nature, either among the departments of the School or from among the vast pool of research areas of other Schools at SNU. Of course, the core of the research project needs to be firmly grounded on a topic of interest in management. An enabling multi-disciplinary research environment and the competitive camaraderie among teachers and researchers at SNU provide many opportunities to the PhD candidate in sailing through the knowledge pool from among the entire SNU community. The maximum duration of the program is 4 years; however, in special cases the student may be given an additional semester to complete all the requirements of the program.

Indicative Program Structure

Typically, the PhD program at SME will follow the design exhibited in table – 1. SME would admit PhD candidates twice a year, viz. spring semester starting January and monsoon semester starting August, each year. The maximum number of candidates to be admitted would depend upon the seats available in each department, faculty interest and the availability of fellowship.

Table - 1: Indicative Path to PhD[3]

Activities	Credits	Semester number							
		1	2	3	4	5	6	7	8
PG courses – Compulsory									
Quantitative research methods	2	▓							
Microeconomics for managers	2	▓							
Business research methods-I	2	▓							
Business research methods-II	1		▓						
Core course - Doctoral level									
Philosophical Foundations and Critical Theories of Management	3	▓							
Advanced Quantitative research methods	2		▓						
Theory of Firm	3		▓						
Ethics in business research	1		▓						
Elective courses - Doctoral level									
Area Elective – 1	6			▇	▇				
PG courses – Optional									
PG optional	14	▇	▇	▇	▇				
Minimum credits to be earned over 4 semesters	**36**								
Additional courses									
Process of thesis committee formation		▇	▇	▇					
Comprehensive viva voce					▇				
Review seminar with Doctoral Committee - 1						▇			
Review seminar with Doctoral Committee - 2							▇		
Review seminar with Doctoral Committee - 3								▇	
Review seminar with Doctoral Committee - 4									▇

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[3] See Appendix – 1 for an indicative list of courses.

- By the end of second semester of the program, a doctoral committee would be formed to supervise and closely monitor the thesis progress of the candidate
- Post completion of course work period, candidates need to present their research progress in front of thesis committee at the end of each semester

Course Work Credits and Grade Requirements

A minimum of 36 credits (of which, 16 credits are compulsory) is mandatory to progress towards the candidacy to PhD. Most of the compulsory credits are scheduled to be completed within the first year of the program. PG level optional courses, which may span a period of 4 semesters, will be identified and selected by the candidate based on his/her research interest and on the suggestions of the doctoral committee. Additionally, candidates need to complete at least 6 credits of doctoral level elective courses in their second year.

A PhD candidate will forfeit his/her right to continue the program, on the occurrence of any one of the following during the course work period of 2 years from the date of joining:

1. Failing to earn a minimum of 7 CGPA
2. Earning one 'F' or two 'D' grades

In such cases, full-time students would be deregistered from the program and the fellowship will stop immediately.

Program Advisor

On joining the School, each PhD candidate will be assigned to a "Program advisor' who would act as a mentor till a regular thesis supervisory committee is formed. The program advisor will take the candidate through the period of course work, committee formation and related academic and administrative matters.

Thesis Supervisor and Doctoral Committee

Ideally, the process of identifying the potential Research Supervisor and formation of a Doctoral Committee would start from day-1 of the program. SME expects this process to be completed by the end of the second semester (i.e., by the end of Monsoon 2021 for those who are admitted in Spring 2021). The first doctoral committee meeting and the Comprehensive Viva Voce (CVV) will be scheduled before the end of the following semester.

At the CVV, the candidate is expected to present his/her broad theme and direction of the intended research project. In addition, an oral examination will test the proficiency of the candidate in the subjects of study during the past two semesters. The CVV committee will consist of the members of the DC and another SNU faculty outside of SME, who is related to and well familiar with the proposed field of research of the candidate.

A maximum of two attempts given to the candidate to clear the CVV. The CVV will be conducted during the first half of July and December every year. For candidates who are unsuccessful in clearing the CVV in their first attempt, another opportunity will be given during the month of August. A failure to clear the CVV in these two attempts will result in the complete elimination of the candidate from the program. The candidate will be considered eligible for Candidacy to PhD only if he/she clears the CVV.

Post-Candidacy Progress Meetings (DTD 899)

Post-candidacy, the candidate's progress will be reviewed by the DC before the end of each semester, until the final submission of the thesis report in the specified format. Assuming a normal progress of thesis work, a candidate will be submitting his/her final thesis report within the following six semesters after the completion of course-work. Each such review seminar with DC is named as Doctoral Thesis (Code: DTD 899) with 12 credits of course equivalence. An 'S' grade will be awarded in DTD 899 for the semester if the scholar's progress in research is satisfactory; 'U' grade will be awarded in DTD 899 if the scholar's progress in research is unsatisfactory.

Primary Area of Research

It's the Academic Department at SME to which the candidate has applied for and admitted to. This department will have the primary administrative responsibility towards the candidate and will co-ordinate with related departments of SME or other Departments / Schools of the University in cases of inter-disciplinary theses. Usually, the Thesis Supervisor will also be appointed by this "Primary" department. However, given the changed preferences and nature of the proposed work, the candidate will be allowed to change his/her primary Area of affiliation with the consent of the respective departments and the research advisor(s).

Fees and Financial Aid

Detailed information about the fee structure and the nature of assistantships are available on the website (https://snu.edu.in/sites/default/files/Doctoral-Fee-structure-2019-2020-converted.pdf).

All full-time PhD students admitted into the program shall receive a doctoral research and teaching assistantship consisting of 100% tuition-fee waiver and 70% hostel-fee waiver. The student shall also receive a monthly stipend of ₹50,000 for the first two years, and ₹55,000 for the next two years subject to bench-marked performance. The assistantship is subject to satisfactory performance in the program evaluated continuously and compliance with all University regulations.

Application Fees

You will be required to pay a non-refundable application fees of Rs. 1,200 (One Thousand Two Hundred Only) by credit/debit card or Demand Draft drawn in favor of Shiv Nadar University payable at NOIDA.

Hostel facilities

The residential nature of the University expects all full-time PhD students to stay inside the campus. The University provides single-occupancy rooms to all PhD students.

Contact

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Appendix 1: Indicative list of elective courses

S.No.	Course Name	Credits
1	Empirical Corporate Finance	2
2	Research in Accounting and Market efficiency	3
3	Ethics in Business and Management	3
4	Investigation of Macro and Micro Aspects in Human Resource Management	3
5	Market Microstructure and Asset Pricing	2
6	Public Finance for Pre PhD Coursework	2
7	Quantitative Techniques in Management - 2	2
8	Advanced Quantitative Methods in Management	2
8	Group Composition, Processes and Productivity	2
9	Introduction to System Dynamics and Thinking in Management	3
10	Advances and Optimizations in Supply Chains	2
11	Doctoral Seminar on Fundamentals of Strategy	2
12	Management of IP in Business	3
13	Creativity and Innovation	3