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इस भाग में भिन्न पृष्ठ संख्या दी जाती है जिससे कि यह अलग संकलन के रूप में रखा जा सके। (Separate paging is given to this Part in order that it may be filed as a separate compilation)

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MINISTRY OF EDUCATION (DEPARTMENT OF HIGHER EDUCATION)

New Delhi-01, the 3rd August 2022

No. 11-9/2019-U.3(A)Pt.—Whereas, the University Grants Commission (UGC) had issued the UGC (Institutions of Eminence Deemed to be Universities) Regulations, 2017 for every existing or proposed institution, not owned or controlled by Government, seeking for the status of 'Institutions of Eminence'.

- 2. And whereas, Shiv Nadar University (hereinafter referred as "SNU"), Greater Noida, Uttar Pradesh submitted its application on 10.12.2017 for conferment of 'Institution of Eminence Deemed to be University' status.
- 3. And further whereas, the application was forwarded to UGC for entrusting it to Empowered Expert Committee (EEC) constituted for the purpose. The EEC conducted its appraisal based on the documents submitted by the Institution as well as the presentations made by it on 05.04.2018 before EEC.
- 4. And whereas, the EEC, after overall assessment, recommended the name of SNU to be conferred with the status of Institution of Eminence Deemed to be University as per UGC (Institutions of Eminence Deemed to be Universities) Regulations, 2017. The report of Empowered Expert Committee was considered and approved by the UGC in its 542th meeting held on 02.08.2019.
- 5. And further whereas, the Ministry of Education, on the recommendation of UGC and EEC, issued Letter of Intent on 03.10.2019 identifying the name of SNU under the scheme of Institutions of Eminence.
- 6. Whereas, as per clause 6.4 (g) of UGC (Institutions of Eminence Deemed to be Universities) Regulations, 2017, SNU indicated its' readiness for commencing academic operations vide its letter dated 30.11.2019 as per the plans.
- 7. Whereas, as per clause 6.4 (h) of UGC (Institutions of Eminence Deemed to be Universities) Regulations, 2017, the EEC conducted the assessment of readiness of SNU through an Expert Committee appointed by EEC on 05th-06th March, 2020 to ascertain whether the progress made by SNU is commensurate with the time stipulated in the letter of intent for commencing academic operations. Whereas, the Spot Visit Report of the Expert Committee was approved by the Chairman, EEC on 20.03.2020 and subsequently considered and approved by the Commission in its' 546th meeting on 14.05.2020.
- 8. And whereas, the Ministry signed the MoU with the institution on 16.03.2021 pursuant to regulation 8.6 of UGC (Institutions of Eminence Deemed to be Universities) Regulations, 2017.
- 9. And whereas, pursuant to regulation 17.0 of UGC (Institutions of Eminence Deemed to be Universities) Regulations, 2017, the Institution shall not use the word 'University' suffixed to its name but may mention the words "Institution of Eminence Deemed to be University" within parenthesis suffixed thereto.
- 10. Now therefore, in exercise of the powers conferred under Section 3 of the UGC Act, 1956, the Central Government, on the recommendations of EEC & UGC, hereby declare Shiv Nadar University as an 'Institution of Eminence Deemed to be University' which shall be known as Shiv Nadar (Institution of Eminence Deemed to be University) with effect from the date of issuance of this Notification.

This declaration is subject to the following conditions:

- i. The Institution will be, on declaration of being an 'Institution of Eminence Deemed to be University', governed by the provisions of UGC (Institutions of Eminence Deemed to be Universities) Regulations, 2017, as amended from time-to-time, and shall be exempt from all UGC Regulations and other guidelines, notifications and orders of the Commission on matters pertaining to the subject area laid down in Regulation 11.1 to 11.6 of these Regulations, 2017. The Institution will get the additional incentives given in UGC (Institutions of Eminence Deemed to be Universities) Regulations, 2017.
- ii. No financial assistance by the Central Government will be provided to the institution as per UGC (Institutions of Eminence Deemed to be Universities) Regulations, 2017. However, this would not exclude the institution for accessing Government funds allocated for specific studies/ projects which it may execute.
- iii. The Institution of Eminence Deemed to be University would have the full freedom to mobilize resources from the industry or alumni or other donors and utilize it in accordance with its implementation plan without having to seek any permission from any authority.

- iv. Institution of Eminence Deemed to be University shall be reviewed by the EEC regularly after issuance of this Notification for adherence to its implementation plans, keeping in view their fifteen year strategic plans.
- v. The monitoring and review shall continue till the Institution of Eminence Deemed to be University gets into top hundred in a world ranking of repute for two consecutive years or till fifteen years, whichever is earlier. The annual review exercise shall be completed by June each year.
- vi. The Institution of Eminence Deemed to be University shall inform the EEC every year on the progress made in realizing the goals laid out in the implementation and strategic plans in a manner so prescribed by the Committee.
- vii. The Institution of Eminence Deemed to be University shall annually self-report and publicly display the information relating to compliance with their implementation and strategic plans.
- viii. Institution of Eminence Deemed to be University shall get accreditation within five years of the Notification in terms of Regulation 4.2.15.
- ix. Institution of Eminence Deemed to be University shall continue to be ranked in the National Institutional Ranking Framework and within five years of Notification, shall get itself ranked in an International Ranking index of repute. The Institution shall continue to be ranked in the National and International ranking frameworks.
- x. Institution of Eminence Deemed to be University shall appoint an ombudsman to deal with grievances of students and faculty and allegations of unfair practice. The ombudsman selected should be independent of the institution or the management now or in the recent past and concurrence of the EEC shall be taken before appointment of the ombudsman. The contact details of the ombudsman shall be displayed prominently in the campus, on the homepage of the Institutions' website and in the prospectus.
- xi. Institution of Eminence Deemed to be University shall be audited every year by a chartered accountant and the audit report shall be sent to the University Grants Commission within nine months of the completion of the financial year.
- xii. If the Institution of Eminence Deemed to be University is unable to meet the goals at the end of the fifth and subsequent years, and there are grave deviations, as determined by the EEC, from the implementation plan, the EEC will recommend to the Ministry of Education for taking appropriate actions as per these Regulations.

VINEET JOSHI Additional Secretary